



Earn up to 29.5 CPE credits!
See page 7 for details

EUCI Presents a Conference on:
GOVERNANCE/RISK/COMPLIANCE

FERC • NERC • SOX • SAS • FSG • FCPA • PCAOB • SEC • CFTC

Corporate Governance (G)
+ Enterprise wide Risk Management (R)
+ Regulatory Compliance (C)
+ Ethics & Fraud (E & F)
+ Sarbanes-Oxley (SOx)
= How These Fit Together in the Power Industry

June 10 – 11, 2008
Hyatt Regency Atlanta ⚡ Atlanta, GA

HEAR FROM THESE EXPERTS

- **Michael S. Beer**, VP Federal Regulation & Policy
E.ON US
- **Paul Sobel**, Vice President of Audit, **Mirant**
- **Mark Irving**, Lead Information Systems Auditor, **Allele**
- **Betsy Carr**, Assistant Group General Counsel
Regulatory, **Dynegy Inc.**
- **Denise Giangreco**, Business Unit Risk Controller
Northeast Utilities
- **Kees Jansen**, Manager Enterprise Security &
Business Continuity / Acting Program Manager for
Mandatory Reliability Standards
British Columbia Transmission Corporation
- **Brad Vorhies**, Manager of Financial Controls Compliance
Energy Future Holdings
- **Gina Morell**, Compliance Program Manager, **Entergy**
- **Eva Weiss**, Director of Frauds & Risks Controls, **PwC**
- **Tejinder Basi**, Partner, Enterprise Risk, **Deloitte & Touche**
- **Hamid Dayani**, Principal, Global Energy Markets
Deloitte & Touche
- **Howard Friedman**, Senior Manager, **Deloitte & Touche LLP**
- **R. Michael Sweeney, Jr.**, Partner, **Hunton & Williams LLP**
- **Charles Goldenberg**, Vice President of GRC, **MetricStream**
- **William Brown, Ph.D.**, Assistant Professor
St. Cloud (MN) University

Pre-Conference Workshop 1
**Practical Application of Leading
Practices in Regulatory Compliance**
Monday, June 9, 2008

Dinner Workshop 2
**Managing the Costs of Regulatory
Compliance — Taking an Unified
GRC Approach**
Monday, June 9, 2008

Dinner Workshop 3
**Non-Financial Tools Internal Audit
Requires to Conduct Effective
Regulatory Compliance Audits**
Tuesday, June 10, 2008

Post-Conference Workshop 4
**Fraud Risk in the Utility/Power/
Energy Industry**
Thursday, June 12, 2008

Governance/Risk/Compliance

June 10 – 11, 2008

OVERVIEW

Few industries rival the power sector for regulatory complexity and risk exposure. In this business, the two are inseparably connected. Industry events and related legislation of the past few years have compounded the gravity of this relationship through the addition of governance, ethics, and reliability mandates.

Most power company professionals active in these areas know the soft spots in their organization. What they don't know, perhaps, are different strategies for dealing with these vulnerabilities effectively. They are also looking for direction specific to issues that bedevil them in the power industry, not platitudes that are re-hashed from the financial services or insurance industries.

This conference is for power industry professionals, plain and simple. It will offer case studies by some of the leading industry players who have developed blueprints for dealing with the myriad governance, risk, ethics, and compliance (GRC) challenges in a coherent, cohesive fashion. It will look beyond trite clichés to provide depth, insight, and practical answers to some of these issues:

- Stakeholder reputation risk: the real driver behind coordinated GRC policies
- How to implement governance, risk, and regulatory compliance (GRC) measures in the context of discoverability
- Which department(s) should develop key risk indicators (KRIs) for corporate governance and regulatory compliance matters?
- Making the call: Should internal audit be your regulatory compliance quarterback or linebacker?
- What non-financial tools internal audit requires to conduct effective regulatory compliance audits
- Managing the costs of regulatory compliance
- Techniques for aligning corporate strategy with GRC throughout the enterprise
- Establishing GRC goals and performance metrics
- Top 10 challenges in assessing ethics and compliance risks
- Evaluating the results of your GRC programs

WHO SHOULD ATTEND:

Utilities
Independent Power Producers (IPPs)
Merchant Generators
Load Serving Entities (LSEs)
Energy Service Companies (ESCs)
Lenders
Attorneys
External auditing companies
Consultants
.... involved in the following areas:

- Audit
- Compliance
- Governance
- Risk management
- Sarbanes Oxley (SOX)
- Ethics
- Regulatory
- Legal
- Governmental affairs
- IT
- Accounting
- Finance
- Reliability
- Quality assurance

Governance/Risk/Compliance

June 10 – 11, 2008

Program Agenda

Day 1 Tuesday, June 10, 2008

7:30 – 8:00 a.m. Registration and Continental Breakfast

8:00 – 9:45 a.m.

Stakeholder Reputation Risk: The Real Driver Behind Coordinated GRC Policies

Michael S. Beer, Vice President, Federal Regulation and Policy, E.ON U.S.

A key element in the emerging regime of coordinated governance, risk, and compliance (GRC) management is ensuring that the company's business is conducted in a manner that serves its various stakeholders in an effective, compliant, and untainted fashion. A true barometer of the success or failure of this internal company effort is the company's imputed goodwill or reputation, arguably the most valuable, yet fragile, attribute a company possesses.

Utilities and power companies that have spent decades building this goodwill with regulators (both national and state), ratepayers, shareholders, public interest groups, and other stakeholders find that their reputation risk has increased with the expanded civil enforcement authority given to FERC in the Energy Policy Act 2005, the imposition of mandatory reliability standards, as well as other measures introduced in the past few years.

This keynote presentation will explore how the imposition of recent – and the strengthening of longstanding – compliance obligations have compounded the responsibilities of utility professionals discharging governance, risk, compliance, audit, and ethics roles. It will examine several compliance measures and their practical impact on power companies, due to:

- The expansive reach of the requirements
- The lack of precedents
- The emphasis on self-disclosure
- The uncertainty over due process rights in enforcement

It will further examine how these elements expose the company's reputation to immediate risk, and how to structure a GRC culture within the organization to address these exposures in a manner ensuring that compliance issues are disseminated effectively through the organization and implemented enterprise-wide. Finally, the presentation will spell out how a coherent GRC effort sponsored by senior management and developed in a coordinated fashion by the governance, risk, compliance, audit, and ethics team will drive the entire organization at a power company to foster and protect a company's stakeholder reputation – any compliance officer's ultimate objective.

Panel Discussion

Establishing GRC Goals and Performance Metrics

9:45 – 10:15 a.m. Networking Break

About EUCI

EUCI is a leading provider of conferences, seminars, workshops and courses designed exclusively for the energy industry. We seek to create a forum for professional communication and exchange knowledge and ideas among energy industry professionals and others interested in the industry.

Join the thousands of others who have attended our events since 1987 and see why they keep coming back.

Governance/Risk/Compliance

June 10 – 11, 2008

Program Agenda

Day1 Tuesday, June 10, 2008 (Continued)

10:15 a.m. – 12:00 p.m.

Techniques for Aligning Corporate Strategy with GRC throughout the Enterprise

Case Study: A NERC Perspective

Leveraging NERC Standards Compliance Technology Across GRC Disciplines

Kees Jansen, Manager Enterprise Security & Business Continuity and acting Program Manager for Mandatory Reliability Standards, British Columbia Transmission Corporation
Tegender Basi, Partner, Enterprise Risk, Deloitte & Touche

The efficiency and effectiveness of an organization's NERC standards compliance efforts can be significantly improved through the use of an automated compliance-management tool. The successful implementation of such a tool requires a comprehensive understanding of the implications of the NERC standards, a clear understanding of the unique functional requirements for the system, and the creation of the necessary governance structure and processes to support it.

This presentation will describe:

- The initiative to implement Resolver Risk as the NERC compliance-management tool for BCTC
- The translation of the NERC standards into a compliance framework within the tool and the design of the compliance-management function within BCTC, including related workflows and key roles and responsibilities
- How the tool is being used to support BCTC's internal compliance program and compliance with other regulatory frameworks
- The practical challenges and lessons learned during the project
- The benefits realized to date through the automation of the compliance-management process

Case Study: A SOx Perspective

Take Control Self-Assessment Far Beyond Compliance

Brad Vorhies, Manager of Financial Controls Compliance, Energy Future Holdings

Learn how to embed reliable control self-assessment into your organization in order to provide ongoing assurance that goes far beyond Sarbanes-Oxley Section 404 (SOx) compliance in order to achieve operational objectives and quality control over internal control. You will learn how to leverage your existing investment to achieve an embedded process that will greatly reduce costly direct testing and the non-value-add burden of SOX compliance. Moreover, this process can be used to provide ongoing assurance regarding the achievement of all company control objectives.

Sponsorship Opportunities

Do you want to meet this powerful audience to drive new business? For sponsors and exhibitors, this means an unparalleled opportunity to raise your profile before a manageable group of executives who make the key purchasing decisions for their businesses. There are

packages available which can be customized to fit your budget and marketing objectives, including:

- Cocktail Reception Host
- Lanyard Sponsor
- Networking Break Host
- Luncheon Host
- Table Top Exhibits
- Breakfast Host

Agenda Continued on Next Page

Governance/Risk/Compliance

June 10 – 11, 2008

Program Agenda

Day1 Tuesday, June 10, 2008 (Continued)

12:00 – 1:15 p.m. Group Luncheon

1:15 – 3:00 p.m.

**Case Study: An Enterprise Risk Management (ERM) Perspective
The "R" in GRC**

Denise Giangreco, Business Unit Risk Controller, Northeast Utilities

As compliance issues have become increasingly important and the magnitude of their consequences has escalated, the interface between governance risk and compliance has moved up in prominence not just among regulators, but among stakeholders, shareholders, and management as well. This session will describe how these GRC elements are integrated at Northeast Utilities with a focus on the role of enterprise risk management in strategic and operational planning.

Case Study: A Fraud and Ethics Perspective

Killing a Flock with One Stone: Leveraging Anti-Fraud Programs to Meet SEC 2007 Management Guidance on SOx, AS5, McNulty Memo, Sentencing Guidelines, and COSO ERM, FERC 2005 Policy Statement

Eva Weiss, Fraud Risks & Controls Groups, PwC

Numerous changes have occurred over the past 12 months to laws, regulations, and professional standards relating to fraud and misconduct. This module provides a regulatory update and details how participants can save time and money by leveraging a comprehensive anti-fraud program to meet new and ever-evolving compliance requirements including:

- SEC 2007 Management Guidelines
- PCAOB Auditing Standard No. 5
- Foreign Corrupt Practices Act
- 2006 IIA Advisories on Fraud
- Amendments to Corporate Federal Sentencing Guidelines
- SEC 2006 Financial Penalty Framework

3:00 – 3:30 p.m. Networking Break

3:30 – 5:00 p.m.

Panel Discussion

Top 10 Challenges in Assessing Ethics and Compliance Risks

Kees Jansen, Manager Enterprise Security & Business Continuity and acting

Program Manager for Mandatory Reliability Standards

British Columbia Transmission Corporation

Tejinder Basi, Partner, Enterprise Risk, Deloitte & Touche

Brad Vorhies, Manager of Financial Controls Compliance, Energy Future Holdings

Denise Giangreco, Business Unit Risk Controller, Northeast Utilities

Eva Weiss, Director, Fraud Risks & Controls Groups, PwC

5:00 – 6:30 p.m. Networking Reception

Agenda Continued on Next Page

Governance/Risk/Compliance

June 10 – 11, 2008

Program Agenda

Day2 Wednesday, June 11, 2008

8:00 – 8:30 a.m. Continental Breakfast

8:30 – 10:00 a.m.

Evaluating the Results of Your GRC Program

Paul Sobel, Vice President of Audit, Mirant

You believe that you've implemented a robust GRC program, but how do you really know if it's designed adequately and operating effectively? Traditional audit techniques may not provide you with the full answer to that question. In addition, the energy industry presents its own unique challenges surrounding a GRC program. In this presentation, participants will gain an understanding of the following:

- Determining the scope of a comprehensive GRC audit
- Designing a maturity-based audit approach
- Gathering information on the soft aspects of a GRC program
- Reporting the results to get action

10:00 – 10:30 a.m. Networking Break

10:30 a.m. – 12:00 p.m.

Which Department(s) Should Develop Key Risk Indicators (KRIs) for Corporate Governance Regulatory Compliance Matters

Mark Irving, Lead Information Systems Auditor, Allete

- Departments involved
- Methods for identifying key risks
- Establishing and ranking key risk indicators
- Prioritizing, level of detail, pilot program, ERM
- Review resources
- Management support
- Agree on a methodology to use
- Metrics
- How frequently to update (annually, quarterly)
- Specifically approved method in the industry
- Link with risk based on planning, ERM, audit findings

Panel Discussion

Making the Call: Should Internal Audit be your Regulatory Compliance Quarterback or Linebacker?

Paul Sobel, Vice President of Audit, Mirant

Mark Irving, Lead Information Systems Auditor, Allete

12:00 – 1:30 p.m. Group Luncheon

Governance/Risk/Compliance

June 10 – 11, 2008

Program Agenda

Day2 Wednesday, June 11, 2008 (Continued)

1:30 – 3:00 p.m.

Recent Rulings: Lessons Learned from FERC Enforcement Orders and Their Implications for Company Governance, Risk and Compliance

Betsy Carr, Assistant General Counsel, Regulatory, Dynegy

FERC Enforcement Orders capture the attention of power industry governance and compliance "guardians" like few other things. This presentation will review a number of recent such rulings to divine the conditions that led to these Orders and what they mean from a compliance perspective, as well as what they augur for the future. It will also examine what FERC-regulated entities can do to lessen their exposure to similar proceedings on different matters and what the "lessons learned" are as a result of reviewing the enforcement process with FERC staff.

Affiliate Transaction Rules and Standards of Conduct

R. Michael Sweeney, Jr, Partner, Hunton & Williams LLP

Today's regulatory environment increasingly requires strict separation of record-keeping and business conduct within a consolidated enterprise so that affiliated business units are not allowed competitive advantages over third-party providers. At the same time, many compliance measures demand an enterprise-wide view of business operations. The consequence of these clashing norms is that power companies with multiple operating units find themselves often unable to execute the very regulatory protections they are mandated to accomplish, yet are exposed to non-compliance penalties that are assessed against the entire enterprise. This presentation will explore several ways consolidated power companies have sought to balance the conflicting demands without running afoul of legal and shareholder obligations.

3:00 – 3:30 p.m. Networking Break

3:30 – 4:30 p.m.

Panel Discussion

How to Implement Governance, Risk and Regulatory Compliance (GRC) Measures in the Context of Discoverability

Paul Sobel, Vice President of Audit, Mirant

Betsy Carr, Assistant General Counsel, Regulatory, Dynegy

R. Michael Sweeney, Jr., Partner, Hunton & Williams LLP



EUCI is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417. Web site: www.nasba.org.

CPE CREDITS

Upon successful completion of this event, program participants interested in receiving CPE credits will receive a certificate of completion.

Conference = 14.5 CPE Credits
Workshop 1 = 4.0 CPE Credits
Workshop 2 = 2.0 CPE Credits
Workshop 3 = 2.0 CPE Credits
Workshop 4 = 7.0 CPE Credits

There is no prerequisite for this conference.

Program Level 1: Intermediate
Delivery Method: Group-Live
Advanced Preparation: None

Practical Application of Leading Practices in Regulatory Compliance

Monday, June 9, 2008

Registration: 12:30 – 1:00 p.m.

Workshop Timing: 1:00 – 4:30 p.m.

OVERVIEW

For any wholesale power market participant, regulatory compliance means satisfying both performance and reporting requirements as established and overseen by federal regulatory agencies, such as the FERC and the CFTC. The challenge for companies is establishing a consistent set of processes, practices, and systems that support external compliance and internal self-assessment. This workshop focuses on the leading practices that are being employed by companies to create proactive programs that are sustainable and adaptable in the face of a myriad of regulatory expectations and requirements across the physical and financial power markets.

The practices profiled will address the ways companies are facing the challenge of meeting regulatory compliance requirements. Attendees will walk away from this workshop with an understanding of the key components of a compliance program and insight regarding the regulatory compliance capability maturity of their own organizations. Some of the specific leading practice categories that will be discussed include:

- Risk assessment processes
- Organizational structure
- Underlying policies and procedures
- Control and monitoring mechanisms
- IT systems and information management

WORKSHOP PRESENTERS

Hamid Dayani, Principal, Global Energy Markets, Deloitte & Touche LLP, with over 25 years of industry and project management experience, is an energy market advisor, providing technical, legal, and regulatory consulting services to Independent System Operators, Regional Transmission Organizations, and energy trading and marketing companies throughout North America. Since 1996, he has been extensively involved with the electric industry restructuring efforts as a key contributor to the design of the California Power Exchange and California Independent System Operator. Hamid contributed to development of the processes and policies and advising project leads in management of the implementation of complex operations and market systems from vendor selection through startup. He was key contributor in the redesign of California ISO's Energy and Ancillary Services markets advising project managers, vendors, and system integrators to ensure successful FERC filings and systems implementation.

Howard Friedman, Senior Manager, Deloitte & Touche LLP, has nearly 15 years of experience in the energy industry. Howard joined Deloitte & Touche LLP in October 2006 and serves as a leader of the Power & Utilities Regulatory Compliance service line. His experience includes working on risk management, strategic planning, and operational improvement issues across competitive wholesale and retail markets and advising both independent power producers and integrated electric and gas utilities. With respect to operational risk assessments, Howard has worked with a variety of energy transacting companies to review and assess their existing policies, procedures, and practices to identify opportunities to strengthen the front, middle, and back office functions across the enterprise. He has led a wide variety of consulting and advisory engagements for energy industry stakeholders, including executive level strategic planning initiatives, resource planning/supply procurement engagements, risk assessments, operational assessments, and asset acquisitions and divestitures.

Dinner Workshop 2

Managing the Costs of Regulatory Compliance — Taking an Unified GRC Approach

Monday, June 9, 2008

Registration: 6:15 – 6:30 p.m.

Workshop Timing: 6:30 p.m. – 8:30 p.m

Dinner will be provided

OVERVIEW

Many organizations find themselves managing their Governance, Risk and Compliance (GRC) initiatives in silos. However as the multiple risk and compliance initiatives become more intertwined from regulatory and organizational perspectives, manifold systems cause confusion due to duplicative and contradictory processes and documentation. This, in turn, results in increased business risk. In addition, the redundancy of work, as well as sheer expense of maintaining multiple point software solutions, causes the costs of compliance and risk management to increase significantly.

This workshop will explore how one forward-thinking power company – Entergy – is taking a broader, more integrated approach to the practice of GRC management. By adopting this integrated GRC process approach and deploying a single system that supports a federated organizational approach to managing the multiple GRC initiatives, Entergy's compliance effectiveness has increased while its cost of compliance has diminished. With an integrated GRC framework, the company has aligned its GRC initiatives centrally with corporate governance and reporting that is distributed to lines of business to assign ownership, execution, and accountability. Such an approach has resulted in a dramatic and positive impact on organizational effectiveness by providing a clear, unambiguous process and a single point of reference for the organization. Another significant benefit of this approach has been cost containment of the GRC effort. The workshop will demonstrate the applicability of this approach to the broader power and energy industry.

WORKSHOP PRESENTERS

Gina Morell, Compliance Program Manager, Entergy

Charles Goldenberg is Vice President of Governance, Risk, and Compliance Solutions for MetricStream, including solutions for SOx compliance, Enterprise Risk Management, Board Governance, and operational compliance. He brings more than 30 years of professional experience to MetricStream, including 25 years as a management consultant. At KPMG Consulting, Mr. Goldenberg was the West Coast partner in charge for the world-class finance practice. He worked with a number of leading companies on finance process and governance issues, and worked with several large multi-national corporations on inter-company transfer pricing issues. He also was KPMG's thought leader on the balanced scorecard performance measurement framework and a leading practitioner on the topic of activity-based costing. At Deloitte Consulting, Mr. Goldenberg was partner in charge of the Americas High Technology Industry Practice, and led Deloitte's business relationships at several major accounts, including Cisco Systems, Microsoft, and Sun Microsystems. At Mercer Management Consulting, he was the managing director for the San Francisco practice. Prior to his career in consulting, he led purchasing and industrial and manufacturing engineering for Peterbilt Motors Company.

Mr. Goldenberg holds an MS from Stanford University in industrial engineering and a BS in mechanical engineering from the University of California at Santa Barbara, where he graduated with honors. He also holds professional engineering registrations in both industrial and mechanical engineering.

Non-Financial Tools Internal Audit Requires to Conduct Effective Regulatory Compliance Audits

Tuesday, June 10, 2008

Registration: 6:15 – 6:30 p.m.

Workshop Timing: 6:30 p.m. – 8:30 p.m

Dinner will be provided

OVERVIEW

- Latest copy of the compliance standards (any addenda)
- Requirements
- Measures
- Levels of compliance
- Timeline (when/what level | documentation has to be prepared)
- Document repository software
- Version control
- List of data owners (who is responsible) | signoffs
- Appropriate training to know what is expected of internal auditors | external auditors
- Mapping of standards > requirements > measures
- Participation on compliance team(s)
- Attending industry meetings (consistency with what other companies are doing to meet standards)
- Define audit methodology
 - Checklists
 - Linking template(s)
 - Schedules
- Security over master documentation
- Distribution list(s)
- Offsite backup storage (hardcopy/softcopy)
- Recommendation format for compliance
- Remediation process
- Familiarity with fines, penalties that may be imposed
- Internal/External benchmarking (agreement between the two)

Other considerations:

- Compile list of all compliance standards (rank | in-common | subset | conflict)
- Standardize on methodology that will enable all compliance to fall under one umbrella
 - May be combination (i.e. ISO17799, COBIT, & ITIL)
- Outsourcing or co-sourcing with experts (independence, efficiency, accuracy, and expertise)
- Consult with internal legal, compliance, environmental, and security staff
- Standardized format to aid external auditors reviewing material
 - Boiler plate templates for headers and footers for spreadsheets and word documents
 - Distribution in PDF format
- Reduce costs of independence factor by using external expert every 3rd or 5th year

WORKSHOP PRESENTERS

Mark G. Irving, CISA, is the **Lead Information Systems Auditor** at **ALLETE**. Mark has been with ALLETE for over 29 years, holding positions in Engineering Computer Applications, Information Systems, Load Research, and Internal Audit. He has written articles for Auerbach – CRC Press on IS Auditing topics. He is a guest speaker for IIA, ISACA, and EEI events, St. Cloud State University, and is a CISA review course instructor.

William C. Brown, is an **Assistant Professor** at **St. Cloud State University (SCSU), College of Business**. His professional experience includes teaching at SCSU and over 20 years of experience as a financial officer. Degrees and certifications include an MBA, a CPA, CISA, a MS in Software Engineering, and a PhD in Management Information Systems.

Post-Conference Workshop 4

Fraud Risk in the Utility/Power/Energy Industry

Thursday, June 12, 2008

Registration and Continental Breakfast: 8:00 – 8:30 a.m.

Workshop Timing: 8:30 a.m. – 4:30 p.m

OVERVIEW

Defining fraud is often an easier exercise than detecting and treating it. Moreover, the utility, power, and energy industry has its own particular complexities that make these discovery and remediation measures especially challenging.

This workshop tackles many of the elements that take on extra gravity in the utility, power and energy fraud, and risk controls context. Workshop participants will benefit from an update of the latest techniques and strategies practiced by some of the country's most sought-after authorities and counselors. In addition, they will participate in hands-on exercises that drive home the takeaway elements of the workshop. Finally, they will benefit from a round-table discussion of utility and power industry fraud and risk controls experts who have encountered a broad range of issues and how they have addressed them on an enterprise-wide basis.

AGENDA

8:30 – 10:00 a.m.

Framework for Fraud and Misconduct Management
Utility-Specific Fraud and Misconduct Schemes

10:00 – 10:30 a.m. Networking Break

10:30 a.m. – 12:00 p.m.

Risk Assessment: Event Identification and Evaluation
Monitoring and Auditing Fraud and Misconduct Techniques and Scenarios

12:00 – 1:00 p.m. Group Luncheon

1:00 – 2:30 p.m.

Anatomy of an Investigation: Implications of Legal and Auditing Requirements and Conducting the Forensic Investigation

2:30 – 3:00 p.m. Networking Break

3:00 – 4:30 p.m.

Panel Discussion
Utility Fraud and Ethics Professionals Discuss Industry-Specific Issues and Approaches

WORKSHOP PRESENTERS

Eva Weiss has been a **Director** in **PricewaterhouseCoopers' Fraud Risks and Controls** practice since September 2004. She leads the group's Compliance & Operational Risk initiative with a special focus on utilities, energy, and financial services clients. Immediately before joining PwC, she held the position of Executive Deputy Inspector General for Forensic Investigations and Risk Analysis with the New York State Inspector General's office. The office is responsible for overseeing and monitoring approximately 100 New York State agencies. Her experience included proactive and reactive actions in banking, financial services, utilities, construction, insurance, and health care. Previously, she held the position of Deputy Inspector General for the New York State Power Authority. Before entering the public sector approximately 10 years ago, Ms. Weiss was employed with Citibank's consumer banking as a global coordinator giving guidance on auditing high risk business scenarios. She also spent time with KPMG's audit practice, both in New York and in Budapest, Hungary. Shortly after returning from a two year rotation to Budapest as a senior manager, she joined KPMG's New York Forensic Investigative group where her career focused on economic crimes involving large corporations and organized crime. Ms. Weiss served as an adjunct faculty member of John Jay College of the City of New York teaching accounting for two years and has delivered lectures on the subject of prevention, detection, and investigation of fraud and economic misconduct.

Kimberly Correa is a **Manager** in the **Fraud Risks & Controls** group in **PricewaterhouseCoopers' Austin office**. She has over six years of experience in the areas of auditing and fraud prevention and detection. She has experience working with companies in the energy, utilities, banking, capital markets, technology, retail and consumer, and insurance industries. Ms. Correa assists PwC clients, both attest and non-attest, to identify and assess fraud, misconduct, and reputation risks; evaluate the design and validate the operating effectiveness of antifraud programs and controls; evaluate the design and validate the operating effectiveness of control activities intended to prevent or detect fraud and misconduct; develop and perform substantive fraud auditing procedures (e.g. interviews, computer assisted auditing techniques, and analytics) and develop responses to suspected or discovered fraud. She has drafted internal firm guidance regarding responsibilities related to financial accounting standards, including Statement of Accounting Standard No. 99, Consideration of Fraud in a Financial Statement Audit, and Public Company Accounting Oversight Board Auditing Standard No. 2, An Audit of Internal Control over Financial Reporting Performed in Conjunction with an Audit of Financial Statements. Ms. Correa earned her BA degrees in Economics and Managerial Studies from Rice University in Houston, Texas and her Master of Public Accounting from the McCombs School of Business at the University of Texas at Austin.

PROCEEDINGS

The proceedings of the Conference and Workshops will be published and one copy will be distributed to each registrant at the conference. Extra copies of the composite proceedings will be available at \$595.00 each.

CONFERENCE LOCATION

EUCI has reserved a room block at the Hyatt Regency Atlanta, 265 Peachtree Street NE, Atlanta, GA 30303-1294, for the nights of June 8-11, 2008. The rate is \$189 single or double occupancy, plus applicable tax. Call 404 577 1234 for reservations and mention the EUCI Conference to get the group rate. Make your reservations prior to April 18, 2008. There are a limited number of rooms available at the conference rate. Please make your reservations early.

Hyatt's 23-story flagship atrium hotel on Peachtree Street is located in the heart of Downtown Atlanta, with shopping and dining in adjoining Peachtree Center. Nearby attractions include the Georgia Aquarium, CNN Center, Centennial Olympic Park, Atlantic Station, Philips Arena, Underground Atlanta, High Museum of Art, and Martin Luther King Center.

REMEMBER, EVERY 4TH REGISTRANT IS FREE!

REGISTRATION INFORMATION

For instant registration, call (201) 871-0474 or fax the Registration Form to (201) 767-1928

Register 3, Send 4th Free!!

Any organization wishing to send multiple attendees to these conferences may send 1 FREE for every 3 delegates registered. Please note that all registrations must be made at the same time to qualify.

All cancellations received on or before May 2, 2008 will be subject to a \$195 processing fee. Written cancellations received after this date will create a credit of the tuition (less processing fee) good toward any other EUCI conference or publication. This credit will be good for six months. In case of conference cancellation, Electric Utility Consultants' liability is limited to refund of the conference registration fee only. For more information regarding administrative policies such as complaint and refunds, please contact our offices at (201) 871-0474

EUCI reserves the right to alter this program without prior notice.

MAIL DIRECTLY TO:

The Power Marketing Association (PMA)
P.O. Box 2303
Falls Church, VA 22042

FAX TO:

(201) 767-1928

PHONE:

(201) 784-5389

PLEASE REGISTER THE FOLLOWING

- Governance/Risk/Compliance Only, June 10 - 11, 2008: **\$1295**, After May 9, 2008: \$1495

Add workshops:

- Pre-Conference Workshop 1: add \$400
 Dinner Workshop 2: add \$300
 Dinner Workshop 3: add \$300
 Post-Conference Workshop 4: add \$600

- Full Conference Pass: \$2895 (after May 30, 2008, \$3095)*

ENERGIZE WEEKLY

When you sign up to "Energize Weekly" you will receive a new conference presentation each week via email on a relevant industry topic. The presentations are selected from a massive library of over 1000 current presentations that EUCI has gathered during its 21 years organizing conferences.

- Sign me up for "Energize Weekly"**

How did you hear about this event? (Direct email, Colleague, Speaker(s), Publications, etc.)

Name _____ Title _____

Name Preferred for Badge _____ E-Mail _____

Company _____ Telephone _____

Address _____ City _____ State _____ Zip _____

PAYMENT METHOD

Please make checks payable to "PMA"

Please charge my credit card: Visa MC AMEX Security Code _____

Visa and MC cards have a 3 digit code on the signature panel on the back of the card, following the account number. American Express cards have a 4 digit code on the front of the card, above the card number.

Name on Card _____ Signature _____

Account Number _____ Exp. Date _____

Billing Address _____ Billing Zip Code _____

Or enclosed is a check for \$ _____ to cover _____ persons.

- Check here if you have any dietary or accessibility needs. We will contact you for more details.